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Attorney for Applicants

may 26,2004

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

in re Application of)
PERCY J. LIPSEY II et al.) Attorney Docket No. 03-EDP-179
Entitled:) Group Art Unit 2832
CIRCUIT BREAKER EMPLOYING ILLUMINATING INDICATORS FOR OPEN AND CLOSED POSITIONS))))
) Examiner Kyung S. Lee
Serial No. 10/650,343) Confirmation No. 6660
Filed: August 28, 2003)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

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600 Grant Street, 44th Floor Pittsburgh, PA 15219 May 26, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to the provisions of 37 C.F.R. Sections 1.56, 1.97 and 1.98, Applicants identify the patents listed on the attached Patent and Trademark Office Form PTO/SB/08A for consideration during prosecution of the subject patent application. Copies of the cited U.S. patents are <u>not</u> enclosed herewith. *See* Pre-OG Notice "Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003 (signed 11 July 2003)".

This statement is filed solely for the purpose of complying with the pertinent rules of the Office and is not intended to be a substitute for an independent evaluation by the

Examiner of the art cited or an independent search by the Examiner, and no representation of any nature is made or intended by the filing of this statement.

In addition to the art of record and in the documents cited on the Patent and Trademark Office Form PTO/SB/08A, Applicants and/or their attorneys may have been exposed to or considered additional art relating to the general class of the subject matter of the invention. However, if in fact such exposure or consideration has occurred, to the best of their recall or judgment, none of such art is prior art which is more relevant than the art cited.

This information disclosure statement is being filed under 37 CFR § 1.97(c) before the mailing date of any final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution of the application. Please charge Deposit Account No. 05-0275 in the amount of \$180.00 to cover the fee set forth in § 1.17(p). Please credit any overpayment or charge any underpayment to said Deposit Account. A duplicate copy of this statement is enclosed.

Respectfully submitted,

Kirk D. Houser

Registration No. 37,357 Attorney for Applicants

(412) 566-6083

PTO/SB/08A (08-00)
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Sub	stitute for form 1449A/I	РТО		Complete if Known			
181		N DIGG	LOCUE	Application Number	10/650,343		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	August 28, 2003		
				First Named Inventor	Percy J. Lipsey, II		
				Group Art Unit	2832		
(use as many sheets as necessary)		Examiner Name	Kyung S. Lee				
Shee	et 1	of	1	Attorney Docket Number	03-EDP-179		

	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. ¹			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Retevant Passages or Relevant Figures Appear		
	Α	3,806,848		Harper et al.	04-23-1974			
	В	3,742,402		Nicol et al.	06-26-1973			
	U	3,742,403		Nicol	06-26-1973			
	О	3,863,042		Nicol	01-28-1975			
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FOREIGN PATENT DOCUMENTS									
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	Cite No. ¹	Office ³	Number ⁴	Kind Code ⁵ (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Where Relevant Passages or Relevant Figures Appear	т	
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Signature		Considered	`

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/17 (10-03)
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Complete if Known FEE TRANSMITTAL 10/650,343 **Application Number** Filing Date August 28, 2003 for FY 2004 PERCY J. LIPSEY II First Named Inventor Effective 10/01/2003. Patent fees are subject to annual revision. **Examiner Name** Kyung S. Lee Applicant claims small entity status. See 37 CFR 1.27 Art Unit 2832 TOTAL AMOUNT OF PAYMENT (\$) 180.00 03-EDP-179 Attorney Docket No. METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) Money Order Check 3. ADDITIONAL FEES Other Credit card None arge Entity Small Entity ✓ Deposit Account: Fee Description Deposit Code (\$) Code Fee Paid 05-0275 Account 2051 1051 130 65 Surcharge - late filing fee or oath Number Deposit 1052 50 2052 Surcharge - late provisional filing fee or Eaton Corporation Name 1053 130 1053 130 Non-English specification The Director is authorized to: (check all that apply) 1812 2,520 1812 2,520 For filing a request for ex parte reexamination Charge fee(s) indicated below ✓ Credit any overpayments 1804 920 1804 920* Requesting publication of SIR prior to Charge any additional fee(s) or any underpayment of fee(s) Examiner action Charge fee(s) indicated below, except for the filing fee 1805 1.840 1805 1.8401 Requesting publication of SIR after Examiner action to the above-identified deposit account 110 1251 2251 55 Extension for reply within first month FEE CALCULATION 210 Extension for reply within second month 1252 420 2252 1. BASIC FILING FEE 1253 950 2253 475 Extension for reply within third month arge Entity Small Entity Fee Paid F<u>ee Fee</u> Code (\$) Fee Description 1254 1.480 2254 740 Extension for reply within fourth month Code 1255 2.010 2255 1,005 Extension for reply within fifth month 1001 770 2001 385 Utility filing fee 1401 330 2401 165 Notice of Appeal 1002 340 2002 170 Design filing fee 1402 1003 530 2003 265 330 2402 165 Filing a brief in support of an appeal Plant filing fee 1004 770 2004 385 1403 290 2403 145 Request for oral hearing Reissue filing fee 1005 160 2005 1451 1.510 1451 Provisional filing fee 1,510 Petition to institute a public use proceeding 1452 110 2452 55 Petition to revive - unavoidable SUBTOTAL (1) |(\$) 1453 1,330 2453 665 Petition to revive - unintentional 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 1501 1,330 2501 665 Utility issue fee (or reissue) Extra Claims Fee Paid below 1502 480 2502 240 Design issue fee Total Claims -201 1503 640 2503 320 Plant issue fee Independent 1460 130 1460 130 Petitions to the Commissioner Multiple Dependent 1807 50 1807 50 Processing fee under 37 CFR 1.17(q) Large Entity Small Entity 180.00 1806 180 1806 180 Submission of Information Disclosure Stmt Fee Description 40 Recording each patent assignment per Code (\$) Code (\$) 8021 40 8021 property (times number of properties) 1202 Claims in excess of 20 2202 385 Filing a submission after final rejection (37 CFR 1.129(a)) 1809 770 2809 1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid 1810 770 385 For each additional invention to be examined (37 CFR 1.129(b)) 2810 1204 86 2204 ** Reissue independent claims 43 over original patent 1801 770 2801 385 Request for Continued Examination (RCE) 1205 18 2205 9 ** Reissue claims in excess of 20 1802 900 1802 900 Request for expedited examination and over original patent of a design application Other fee (specify) SUBTOTAL (2) *Reduced by Basic Filing Fee Paid SUBTOTAL (3) 180.00 (\$) **or number previously paid, if greater; For Reissues, see above SUBMITTED BY (Complete (if applicable)) Registration No. Name (Print/Type) Kirk D/Houser Telephone 412.566.6083 37,357 Signature Date May 26, 2004

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